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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2 Attorney Docket Number 0019240.00596US1

**Complete if Known**

Application Number	10/809,089-Conf. #7653
Filing Date	March 25, 2004
First Named Inventor	Andrew R. MARKS
Art Unit	1612
Examiner Name	B. J. Packard

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
AA*	US-20070173482	07-26-2007	Marks et al.		

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
BA	WO-07/127145		11-08-2007	The Trustees of Columbia University in the City of New York		
BB	WO-07/143112		12-13-2007	The Trustees of Columbia University in the City of New York		
BC	WO-08/021432		02-21-2008	The Trustees of Columbia University in the City of New York		
BD	WO-08/021439		02-21-2008	The Trustees of Columbia University in the City of New York		
BE	WO-08/060332		05-22-2008	The Trustees of Columbia University in the City of New York		
BF	WO-08/140592		11-20-2008	The Trustees of Columbia University in the City of New York		

Examiner Signature	/Benjamin Packard/	Date Considered	07/29/2009
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with a single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Sheet	2	of	2	Attorney Docket Number	0019240.00596US1

<b>NON PATENT LITERATURE DOCUMENTS</b>				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		T <sup>2</sup>
	CA	Altamura et al., "Investigation on the flexibility of chiral tricyclic derivatives," New J. Chem., 32, 1617-1627 (2008)		
	CB	Garofalo et al., "Polycondensed Heterocycles. X. Method For The Preparation of Pyrrolo[2,1-c][1,4]benzothiazepines by Intramolecular Mitsunobu Cyclisation," Tetrahedron 55:1479-1490 (1999)		
	CC	Hirai et al., Reactivity of some benzodiazepine derivatives, Sankyo Kenkyusho Nenpo, 44: 141-50 (1992)		
	CD	Johansson B.W., "Adams-Stokes Syndrome. A Review and follow-up Study of Forty-two cases," The American Journal of Cardiology, pp 76-93 (July 1961)		
	CE	Nousiainen et al., "Cardiac arrhythmias in the differential diagnosis of epilepsy," J. Neurol, vol 236, pp. 93-96 (1989)		
	CF	Schott et al., "Cardiac Arrhythmias that masquerade as epilepsy," British Medical Journal, Vol 1, pp. 1454-1457 (1977)		
	CG	Supplementary European Search Report mailed December 12, 2008 for European Patent Application No 04794052.3 filed October 4, 2004		

Examiner Signature	/Benjamin Packard/	Date Considered	07/29/2009
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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.P./